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Determination of the internal stress distribution parameters α and β for Fe³⁺-doped yttrium aluminium garnet crystals from the electron paramagnetic resonance linewidth and spin–lattice coupling coefficients

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Abstract. In this paper the spin-lattice coupling coefficients G_{11} and G_{44} for Fe³⁺ ions in both octahedral and tetrahedral sites of YAG crystals have been calculated within the framework of the cubic field approximation from our simple and uniform method of calculating these coefficients in two cubic (O_h and T_d) symmetries by using the parameters obtained from the optical spectra. The results can satisfactorily explain the uniaxial-stress EPR experiments for the two sites and are reasonable. On this basis, the parameters α and β that characterize the distributions of internal stresses and strains are determined for the two sites from the distinctive linewidth data of EPR spectra. It appears that our above method can be used to study the internal stress distributions for the crystals containing paramagnetic ions, particularly in the absence of experimental data of spin-lattice coupling coefficients.

As is well known, when a crystal contains a high concentration of defects or is poorly annealed, a random distribution of the internal stresses and strains is caused in the crystal. In general, the various internal stress components at a site can be assumed to be uncorrelated and hence the distribution of stress components is Gaussian type [1–3]. Thus, for cubic crystals, the distributions are given by [1]

$$P(X_{11}) = P(X_{22}) = P(X_{33}) = (2/\pi)^{1/2} \alpha^{-1} \exp(-2X_{ii}^2/\alpha^2)$$
(1)

$$P(X_{12}) = P(X_{23}) = P(X_{13}) = (2/\pi)^{1/2} \beta^{-1} \exp(-2X_{ij}^2/\beta^2)$$
(2)

where X_{ij} are the stress components along the cubic axes. α and β are the parameters that characterize the distribution of internal stresses in a crystal. As a result of these internal stresses in the crystals containing paramagnetic ion impurities, anisotropic broadening of linewidth in EPR spectra can be observed [1-3]. So, from these linewidth data, some useful information about the distributions of internal stresses and strains in the vicinity of impurity ions can be obtained. However, the internal stress distribution parameters α and β cannot be directly measured experimentally. From the linewidth data of EPR spectra, one can obtain only the absolute values of parameters $C_{11}\alpha$ and $C_{44}\beta$ Zheng Wen-Chen

for paramagnetic ions in a cubic crystal [1]. The parameters C_{11} and C_{44} (using the contracted Voigt notation) are the spin-lattice coupling coefficients defined by [1]

$$D_{ij} = \sum_{k,l} C_{ijkl} X_{kl} \tag{3}$$

where D_{ij} are the strain-induced shift of zero-field splitting.

Because the coefficients C_{11} and C_{44} may be considerably different from crystal to crystal and ion to ion, to understand better the character of the internal stress distribution, it is necessary to separate the parameters α and β from $C_{11}\alpha$ and $C_{44}\beta$. So, the theoretical calculations of coefficients C_{11} and C_{44} are of significance, particularly in the absence of the experimentally determined values of C_{11} and C_{44} . As is known, both the tensor **C** and the tensor **G** can be used to stand for the spin-lattice coupling coefficients. They are related by the elastic compliance constants s_{ij} [4], i.e.

$$C_{\eta kl} = G_{ijrs} s_{rskl}.$$
 (4)

Thus, for cubic symmetry in which there are only two independent coefficients, we have

$$C_{11} = G_{11}(s_{11} - s_{12}) \qquad C_{44} = G_{44}s_{44}. \tag{5}$$

So, one can obtain the coefficients C_{11} and C_{44} by calculating the coefficients G_{11} and G_{44} . In our previous papers [5–9], for the calculations of coefficients G_{11} and G_{44} for d^n ions in two cubic (O_h and T_d) symmetries, a simple and uniform method has been developed and gave reasonable results for many crystals on comparison with experimental findings. It appears that the method can also be used to study the internal stress distribution parameters α and β . In this paper, we shall use it to calculate the coefficients G_{11} and G_{44} for Fe³⁺ ions in both octahedrally and tetrahedrally coordinated sites of yttrium aluminium garnet (YAG) crystals within the framework of the cubic field approximation. On this basis, the parameters α and β are determined from the EPR linewidth data for the two sites.

Garnets have a cubic structure (space group, *Ia3d*). The structure with its three distinct cationic sites having different local symmetries is a potentially interesting system for examining the various theories. Fe³⁺ ions enter substitutionally for Al³⁺ ions in the YAG crystal and occupy the octahedral (or sixfold oxygen-coordinated) site (called the a site) and the tetrabedral site (the d site) [2]. The local symmetries for both sites are axial. The a site has a small trigonal (C_{3v}) distortion along the local z axis which is coincident with the crystal [111] axis, whereas the d site has a small tetragonal (S₄) distortion along the crystal [100] direction. The parameters $C_{11}\alpha$ and $C_{44}\beta$ for the two sites were measured with the approximation of cubic symmetry from the anisotropic line broadening of EPR spectra [2], but the coefficients C_{11} and C_{44} are not reported. So, the parameters α and β are not determined. In the following, we first calculate the coefficients G_{11} and G_{44} for the two sites.

For d^n ions in sixfold cubic (O_h) coordination, this simple and uniform method is $[5-7]^{\dagger}$

$$G_{11} = -\frac{1}{3} (\partial D_{\text{tetra}} / \partial \alpha')_0 \qquad G_{44} = -(\sqrt{2}/6) (\partial D_{\text{tri}} / \partial \beta')_0. \tag{6}$$

From (6) and the high-order perturbation formulae of zero-field splitting for d⁵ ions in tetragonal and trigonal symmetries based on the spin-orbit coupling mechanism which

† To avoid confusion with the internal stress distribution parameters, the angles α and β in [5–9] are written as α' and β' in this paper.

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is believed to be of importance to zero-field splitting [10], we yield the analytic expressions of coefficients G_{11} and G_{44} for d⁵ ions in O_b symmetry [7]:

$$G_{11} = \frac{490}{3} \xi^2 D_q^2 / P^2 G - \frac{18}{5} \xi^3 eq(1 + 3p/eR_0) \langle r^2 \rangle / P^2 DR_0^3$$
(7)

$$G_{44} = -20\xi^2 D_q^2 / P^2 G + \frac{9}{5}\xi^3 eq(1+3p/eR_0) \langle r^2 \rangle / P^2 DR_0^3$$
(8)

with

$$P = 7B + 7C + 2\alpha'' \qquad D = 17B + 5C + 6\alpha'' \qquad G = 10B + 5C + 20\alpha'' \qquad (9)$$

(where α'' is the Trees correction) and

$$D_q = -eq(1 + 5p/eR_0)\langle r^4 \rangle / 6R_0^5$$
(10)

where q is the ligand charge and p the dipole.

On the other hand, for d^n ions in fourfold cubic (T_d) coordination, we have [8, 9]

$$G_{11} = -(2\sqrt{2}/9)(\partial D_{\text{tetra}}/\partial \alpha')_0$$

$$G_{44} = \frac{4}{3}(\partial D_{\text{tri}}/\partial \ln R_1)_0 - (\sqrt{2}/9)(\partial D_{\text{tri}}/\partial \beta')_0.$$
(11)

Similarly, from the same high-order perturbation formulae of zero-field splitting in tetragonal and trigonal symmetries, we obtain [8]

$$G_{11} = -\frac{320}{3}\xi^2 D_q^2 P^2 G + \frac{8}{5}\xi^3 eq(1+3p/eR_0)\langle r^2 \rangle / P^2 DR_0^3$$
(12)

$$G_{44} = 100\xi^2 D_q^2 P^2 G - \frac{1}{3}\xi^3 eq(1+3p/eR_0)\langle r^2 \rangle / P^2 DR_0^3$$
(13)

with

$$D_q = \frac{2}{27} eq(1 + 5p/eR_0) \langle r^4 \rangle / R_0^5.$$
(14)

Obviously, equations (7) and (8) are applicable for Fe^{3+} in the a site, and equations (12) and (13) for the d site. Interestingly, the signs of G_{11} and G_{44} are opposite for the two cubic cases.

From the empirical d orbital of Fe^{3+} ions obtained from the optical spectra data of many crystals containing Fe^{3+} ions [11], we have

$B_0 = 1130.22 \text{ cm}^{-1}$	$C_0 = 4111.45 \text{ cm}^{-1}$	$\xi_0 = 588.946 \mathrm{cm}^{-1}$	(15)
$\langle r^2 \rangle_0 = 1.890$ 39 au	$\langle r^4 \rangle_0 = 11.464.85 \text{ au}.$		(15)

Introducing a parameter N to denote the average reduction due to the covalency effect, one gets

$$B = N^4 B_0 \qquad C = N^4 C_0 \qquad \xi = N^2 \xi_0 \qquad \langle r^k \rangle \approx N^2 \langle r^k \rangle_0. \tag{16}$$

The parameters N and p and the Trees correction α'' can be determined from the optical spectra for the two sites. For the a site, the values of N = 0.894, $p = 0.045eR_0$ and $\alpha'' = 10 \text{ cm}^{-1}$ are found to give a good fit to the observed optical spectra [12], and for the d site the good fit values are N = 0.906, $p = 0.014eR_0$ and $\alpha'' = 80 \text{ cm}^{-1}$.

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Experiment [12] Wavenumber (cm ⁻¹)	Calculation				
	a site		d site		
	Wavenumber (cm ⁻¹)	Transition	Wavenumber (cm ⁻¹)	Transition	
10 400	10 031	⁴ T ₁ (⁴ G)			
14 300	14 734	⁴ T ₂ (⁴ G)			
16 000			16 360	⁴T₁(⁴G)	
19 400			20 535	⁴ T ₂ (⁴ G)	
20 460)		(4A			
20 510 }	20 790				
21 180)		(2(0)			
22 880	23 138	⁴ T ₂ (⁴ D)			
24 030			23 022	${}^{4}A_{1}, {}^{4}E({}^{4}G)$	
24 440	25 513	⁺Ē(⁴D)			
25 200)					
25 540 }			25 575	*Т <u>-</u> (*D)	
25 870					
26 300 <u>{</u>			27 162	⁴ E(⁴ D)	
26 670]				_, _,	

Table 1. Experimental and calculated positions of optical spectra bands for $YAG: Fe^{3+}$ crystals.

Comparison between the calculated and experimental optical spectra is shown in table 1. Thus, substituting the distinctive parameters N, p and α'' and the structural datum R_0 (the cation-ligand distance for the a site of the YAG crystal is $R_0 = 1.937$ Å and for the d site is $R_0 = 1.761$ Å [13]) into the above formulae related to different sites, we get for the a site

$$G_{11}(a) = 4.47 \text{ cm}^{-1}$$
 $G_{44}(a) = -0.81 \text{ cm}^{-1}$ (17)

and for the d site

$$G_{11}(d) = -1.33 \text{ cm}^{-1}$$
 $G_{44}(d) = 1.16 \text{ cm}^{-1}$. (18)

It is noteworthy that some of the calculated results can be supported by the uniaxialstress EPR experiments on YAG: Fe^{3+} crystals. Hodges [14] measured the changes in the zero-field splitting for Fe^{3+} ions in the two sites of YAG crystals induced by stress (along the local symmetry axis) and obtained for the a site

$$dD/dP_{lic_3} = 6.27 \times 10^{-13} \,\mathrm{cm} \,\mathrm{dyn^{-1}} \tag{19}$$

and for the d site

$$dD/dP_{\parallel\bar{c}_4} = 8.13 \times 10^{-13} \,\mathrm{cm} \,\mathrm{dyn^{-1}}.$$
 (20)

For the a site, from the phenomenal and macroscopic thermodynamic analysis, we have

$$dD/dP = \left[\frac{\partial D}{\partial (\ln R)}\right] \left[\frac{\partial (\ln R)}{\partial P}\right] + \left(\frac{\partial D}{\partial \beta'}\right) \left(\frac{\partial \beta'}{\partial P}\right)$$
(21)

where R denotes the bonding length.

 α and β for YAG: Fe^{3+}

Table 2. The internal stress distribution parameters α and β for paramagnetic ions in some crystals.

	α (kg cm ⁻²)	β (kg cm ⁻²)
MgO:Fe ³⁺ [1]	112	80
MgO: Mn ²⁺ [1]	104	96
MgO: Cr ³⁺ [17]		75
YAG: Fe ³⁺ (a site)	22.6	137
YAG: Fe ³⁺ (d site)	92.5	289

For simplicity, we apply the cubic symmetry approximation; then

$$\partial D/\partial (\ln R) = 0. \tag{22}$$

So, one gets [5, 7]

$$dD/dP \simeq (\partial D/\partial \beta')(\partial \beta'/\partial P) = -G_{44}s_{44}.$$
(23)

The elastic constants of YAG crystals are [15]

$$s_{11} = 3.62 \times 10^{-13} \text{ cm}^2 \text{ dyn}^{-1} \qquad s_{12} = -0.887 \times 10^{-13} \text{ cm}^2 \text{ dyn}^{-1}$$

$$s_{44} = 8.8 \times 10^{-13} \text{ cm}^2 \text{ dyn}^{-1}.$$
Then
(24)

$$G_{44}(a) \simeq -0.71 \text{ cm}^{-1}$$
. (25)

The result is in agreement with our calculated value. More exactly, we can take into account the contribution of $\partial D/\partial (\ln R)$ due to trigonal distortion. From the following reasonable estimate, we can find that the agreement is even better. By assuming that $D \sim R^n$, we have

$$\partial D/\partial (\ln R) = nD. \tag{26}$$

From the zero-field splitting $D \ (\simeq -0.105 \ \text{cm}^{-1} \ [14])$ of the a site and the value of $n \ (\simeq -10 \ \text{for } d^5 \ \text{ions in various low symmetries} \ [16])$, we have

$$\partial D/\partial (\ln R) \simeq 1.05 \,\mathrm{cm}^{-1}.$$
 (27)

From [1],

$$\partial (\ln R) / \partial P \simeq -\frac{1}{3} (s_{11} + 2s_{12}) = -0.615 \times 10^{-13} \,\mathrm{cm}^2 \,\mathrm{dyn}^{-1}.$$
 (28)

Substituting (27) and (28) into (21), we obtain

$$G_{44}(a) \simeq -0.78 \,\mathrm{cm}^{-1}.$$
 (29)

The result is close to our calculated value.

Similarly, for the d site,

$$dD/dP = [\partial D/\partial (\ln R)][\partial (\ln R)/\partial P] + (\partial D/\partial \alpha')(\partial \alpha'/\partial P).$$
(30)

Within the cubic symmetry approximation [5],

$$\mathrm{d}D/\mathrm{d}P \simeq (\partial D/\partial \alpha')(\partial \alpha'/\partial P) = -\frac{3}{2}G_{11}(s_{11} - s_{12}). \tag{31}$$

Then

$$G_{11}(d) = -1.20 \text{ cm}^{-1}.$$
 (32)

The result is also similar to our calculated value. In an analogous manner, the more

exact result obtained by taking into account the contribution of $\partial D/\partial (\ln R)$ due to tetragonal distortion is $G_{11}(d) = -1.29 \text{ cm}^{-1}$ (note that, for the d site, $D \approx -0.0987 \text{ cm}^{-1}$ [14], $\partial (\ln R)/\partial P \approx -\frac{1}{3} (s_{11} + s_{12}) = -0.615 \times 10^{-13} \text{ cm}^2 \text{ dyn}^{-1}$). The value is more consistent with the calculated value. In short, our calculated results can explain satisfactorily the stress dependences of EPR spectra for the two sites and can be regarded as reasonable. In addition, this shows that the cubic symmetry approximation for Fe³⁺ in both sites of YAG crystals is suitable.

Obviously, from (5), (17), (18) and (24), the coefficients C_{11} and C_{44} can be obtained easily for the two sites of Fe³⁺ ions in YAG crystals. Thus, according to the parameters $C_{11}\alpha$ and $C_{44}\beta$ for YAG: Fe³⁺ crystals (for the a site, $|C_{11}\alpha| = 0.467 \times 10^{-4}$ cm⁻¹ and $|C_{44}\beta| = 1 \times 10^{-4}$ cm⁻¹; for the d site, $|C_{11}\alpha| = 0.567 \times 10^{-4}$ cm⁻¹ and $|C_{44}\beta| = 3 \times 10^{-4}$ cm⁻¹ [2]), we can obtain the internal stress distribution parameters α and β for both sites. The results, as well as those obtained from experimental values of C_{11} and C_{44} for Fe³⁺, Mn²⁺ and Cr³⁺ in MgO crystals [1, 17] are shown in table 2. It can be seen that the parameters α and β in the two sites of YAG: Fe³⁺ crystals can be compared with those of Fe³⁺, Mn²⁺ and Cr³⁺ in MgO crystals. In addition, the parameters α and β are larger for Fe³⁺ in the tetrahedral d site. These results are qualitatively reasonable in view of the smaller size of the tetrahedral site compared with the octahedral a site. So, our results on α and β should be reasonable.

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